

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Jesse Shu et al.

Application No./Patent No.: 10/765,676 Filed/Issue Date: January 26, 2004

Entitled: WIRELESS FIREWALL WITH TEAR DOWN MESSAGING

Juniper Networks, Inc., a corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Jesse Shu et al. To: NetScreen Technologies, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel 014676, Frame 0707, or for which a copy thereof is attached.

2. From: NetScreen Technologies, Inc. To: Juniper Networks, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

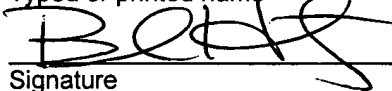
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

January 21, 2005

Date

Paul A. Harrity

Typed or printed name



Signature

Attorney for Assignee

Title

ASSIGNMENT DOCUMENTS

**FROM NETSCREEN TECHNOLOGIES, INC.
TO JUNIPER NETWORKS, INC.**

Inventor(s): Juniper Networks, Inc.

Appl. No.: 09/967,878; 10/765,676;
10/456,354; 09/706,181 09/706,182;
09/706,297; 09/706,296; 10/060,792;
10/060,525 and 10/410,619

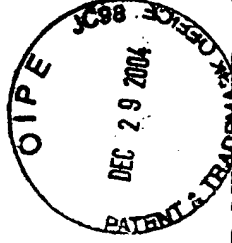
Working Atty: PAH

Date: December 29, 2004

Docket No.: 0023-0204; 0023-0214; 0023-0215;
0023-0216; 0023-0217; 0023-0218; 0023-0219;
0023-0220; 0023-0221 and 0023-0222

The following was/were received in the U.S. Patent and Trademark Office on the date stamped hereon:

- ☒ Check for \$ 400.00 is enclosed
- ☒ Assignment Recordation (PTO-1595)
- ☒ Assignment and Exhibit A to Assignment



CUSTOMER NUMBER: 44987

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
RECORDATION FORM COVER SHEET
PATENTS ONLY

Attorney's Docket No. 0023-0204; 0023-0214; 0023-0215; 0023-0216; 0023-0217; 0023-0218; 0023-0219;
0023-0220; 0023-0221 and 0023-0222

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original document(s) or copy(ies) thereof.

1. Name of conveying party(ies):

Netscreen Technologies, Inc.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Security Agreement

☐ Merger

☐ Change of Name

Other:

Execution Date: December 28, 2004

2. Name and address of receiving party(ies):

Name: Juniper Networks, Inc.

Address: 1194 North Mathilda Avenue
Sunnyvale, California 94089-1206

Additional name(s) & address(es) attached?

☐ Yes

☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence should be mailed:

Name: Paul A. Harrity

Address: HARRITY & SNYDER, L.L.P.
11240 Waples Mill Road
Suite 300
Fairfax, Virginia 22030

CUSTOMER NUMBER: 44987

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$400.00

☒ Enclosed

☐ Authorization to be charged to deposit account, if necessary.

8. Deposit account number:

50-1070

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Paul A. Harrity

Reg. No. 39,574

Name of Person Signing

Signature

December 29, 2004

Date

Total number of pages including cover sheet, attachments, and document: 8

Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office
220 20th Street S.
Customer Window, Mail Stop Assignment Recordation Services
Crystal Plaza Two, Lobby, Room 1B03
Arlington, Virginia 22202

ASSIGNMENT

WHEREAS, NETSCREEN TECHNOLOGIES, INC., a corporation having a principal place of business at 805 11th Avenue, Building 3, Sunnyvale, California 94089, (hereinafter referred to as "Assignor") owns all rights, title and interests in and to certain patents and patent applications identified in Exhibit A attached hereto; and

WHEREAS, JUNIPER NETWORKS, INC., a corporation having a principal place of business at 1194 North Mathilda Avenue, Sunnyvale, California 94089-1206, (hereinafter referred to as "Assignee") is desirous of acquiring the entire domestic and foreign rights, title, and interest in and under the Intellectual Property (as defined below).

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor assigns and transfers to the Assignee and the Assignee's legal representatives, successors and assigns, pursuant to the terms of a concurrently or previously executed Asset Purchase Agreement, its full and exclusive rights, title and interests to those patents and applications identified as such in Exhibit A hereto, and (i) all U.S. and foreign patents and patent applications that claim priority to such identified patents and applications and all U.S. and foreign patents and applications to which such identified patents and applications relate or claim priority, (ii) any provisionals, continuations, continuations-in-part, divisions, reissue applications, extensions, Patent Cooperation Treaty applications, or derivatives of any of the foregoing, both foreign and domestic, and (iii) all patentable inventions, in the U.S. and every foreign country, described or embodied in any of the foregoing, (the "Intellectual Property") and does hereby authorize and request the Commissioner of Patents to issue U.S. patents to the above-mentioned Assignee in accordance with the terms of this assignment document. Such full and exclusive rights shall include, without limitation, the right to sue upon and otherwise enforce the Intellectual Property and to recover all past damages and other potential relief arising from infringement of the Intellectual Property assigned by this Agreement.

ASSIGNOR HEREBY AUTHORIZES the Assignee to insert in Exhibit A to this assignment document the filing date and application number of any application if the date and number are unavailable at the time this document is executed.

UPON SAID CONSIDERATION, Assignor conveys to the Assignee the right to make application in its own behalf for protection of the Intellectual Property in the U.S. and countries foreign to the U.S. and to claim under the Patent Cooperation Treaty, the International Convention and/or other international arrangement for any such application the date of any earlier U.S. application (or any other application on the invention) to gain priority with respect to other applications.

IN WITNESS WHEREOF, Assignor has caused one of its officers to hereunder set his hand on the date shown below to signify its acceptance of this Assignment.

Acceptance by Assignor:

NETSCREEN TECHNOLOGIES, INC.

By: 

Mitchell Gaynor

Title: Secretary

Date: Dec. 28, 2004

Exhibit A to Assignment

All U.S. and foreign patents and patent applications currently assigned to Assignor, under an obligation to assign to Assignor, or in which Assignor has an ownership interest, including specifically the following identified patents and patent applications.

PENDING NETSCREEN TECHNOLOGIES, INC. APPLICATIONS

Attorney Docket No.	Title	Serial No.	Filing Date	Country/Region
0023-0204	Method and Apparatus for Implementing a Layer 3/Layer 7 Firewall in an L2 Device	09/967,878	09/28/2001	U.S.
0023-0204AU	Method and Apparatus for Implementing a Layer 3/Layer 7 Firewall in an L2 Device	2002327757	4/27/2004	Australia
0023-0204CA	Method and Apparatus for Implementing a Layer 3/Layer 7 Firewall in an L2 Device	2461866	3/25/2004	Canada
0023-0204CN	Method and Apparatus for Implementing a Layer 3/Layer 7 Firewall in an L2 Device	02821387.4	4/27/2004	China
0023-0204EP	Method and Apparatus for Implementing a Layer 3/Layer 7 Firewall in an L2 Device	02763764.4	4/20/2004	Europe

Exhibit A to Assignment

All U.S. and foreign patents and patent applications currently assigned to Assignor, under an obligation to assign to Assignor, or in which Assignor has an ownership interest, including specifically the following identified patents and patent applications.

PENDING NETSCREEN TECHNOLOGIES, INC. APPLICATIONS

Attorney Docket No.	Title	Serial No.	Filing Date	Country/Region
0023-0204IL	Method and Apparatus for Implementing a Layer 3/Layer 7 Firewall in an L2 Device	161112	3/25/2004	Israel
0023-0204IN	Method and Apparatus for Implementing a Layer 3/Layer 7 Firewall in an L2 Device	632/CHENP/2004	3/26/2004	India
0023-0204JP	Method and Apparatus for Implementing a Layer 3/Layer 7 Firewall in an L2 Device	2003-533141	3/29/2004	Japan
0023-0207PCT	Intelligent Integrated Network Security Device	PCT/2004/009607	3/29/2004	WIPO
0023-0208PCT	Attack Database Structure	PCT/US2004/010389	4/2/2004	WIPO
0023-0209CN	Multi-Method Gateway-Based Network Security Systems and Methods	03807911.9	10/8/2004	China
0023-0209EP	Multi-Method Gateway-Based Network Security Systems and Methods	03710901.4	9/6/2004	Europe
0023-0209IL	Multi-Method Gateway-Based Network Security Systems and Methods	163329	8/3/2004	Israel

Exhibit A to Assignment

All U.S. and foreign patents and patent applications currently assigned to Assignor, under an obligation to assign to Assignor, or in which Assignor has an ownership interest, including specifically the following identified patents and patent applications.

PENDING NETSCREEN TECHNOLOGIES, INC. APPLICATIONS

Attorney Docket No.	Title	Serial No.	Filing Date	Country/Region
0023-0209JP	Multi-Method Gateway-Based Network Security Systems and Methods	2003-567028	8/6/2004	Japan
0023-0214	Wireless Firewall with Tear Down Messaging	10/765,676	1/26/2004	U.S.
0023-0215	Method and System for Providing Secure Access to Private Networks	10/456,354	6/5/2003	U.S.
0023-0215PCT	Method and System for Providing Secure Access to Private Networks	PCT/US2003/017934	6/5/2003	WIPO
0023-0216	Method and System for Requesting and Providing Content from Server to Client via an Intermediary Server	09/706,181	11/3/2000	U.S.
0023-0217	Method and System for Modifying Requests for Remote Resources	09/706,182	11/3/2000	U.S.

Exhibit A to Assignment

All U.S. and foreign patents and patent applications currently assigned to Assignor, under an obligation to assign to Assignor, or in which Assignor has an ownership interest, including specifically the following identified patents and patent applications.

PENDING NETSCREEN TECHNOLOGIES, INC. APPLICATIONS

Attorney Docket No.	Title	Serial No.	Filing Date	Country/Region
0023-0218	Method and System for Modifying Script Portions of Requests for Remote Resources	09/706,297	11/3/2000	U.S.
0023-0219	Dynamic Toolbar for Markup Language Document	09/706,296	11/3/2000	U.S.
0023-0220	Method and System for Providing Secure Access to Private Networks	10/060,792	1/29/2002	U.S.
0023-0221	Method and System for Providing Secure Remote Access to Email Resources on Private Networks	10/060,525	1/29/2002	U.S.
0023-0221CN	Method and System for Providing Secure Access to Resources on Private Networks	02825046.X	6/14/2004	CHINA
0023-0221EP	Method and System for Providing Secure Access to Resources on Private Networks	02782250.1	6/1/2004	EP
0023-0221IL	Method and System for Providing Secure Access to Resources on Private Networks	161735	5/2/2004	ISRAEL

Exhibit A to Assignment

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PENDING NETSCREEN TECHNOLOGIES, INC. APPLICATIONS

Attorney Docket No.	Title	Serial No.	Filing Date	Country/Region
0023-0221IN	Method and System for Providing Secure Access to Resources on Private Networks	1198/CHENP/2004	5/31/2004	INDIA
0023-0222	Method and System for Providing Secure Access to Private Networks with Client Redirection	10/410,619	4/8/2003	U.S.
0023-0222PCT	Method and System for Providing Secure Access to Private Networks with Client Redirection	PCT/US2004/11339	4/8/2004	WIPO